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ORIGINAL ARTICLE

Treatment of Neonatal Sepsis with Intravenous Immune Globulin

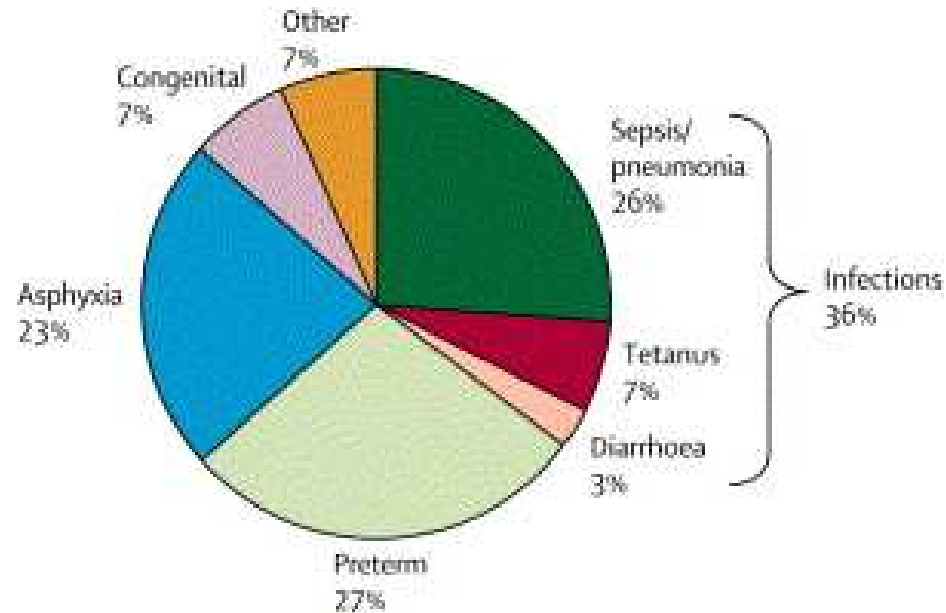
The INIS Collaborative Group*

N Engl J Med 2011; 365:1201-1211

[September 29, 2011](#)

Background

- In the year 2000, there were 4 million neonatal deaths worldwide



- [Lancet](#). 2005 Mar 5-11;365(9462):891-900.

Background

- Neonatal infection and inflammation are associated with serious complications such as brain damage and disability particularly in preterm infants
- Polyvalent IgG immune globulin may help to prevent or treat infection particularly in preterm infants, who have low serum IgG levels

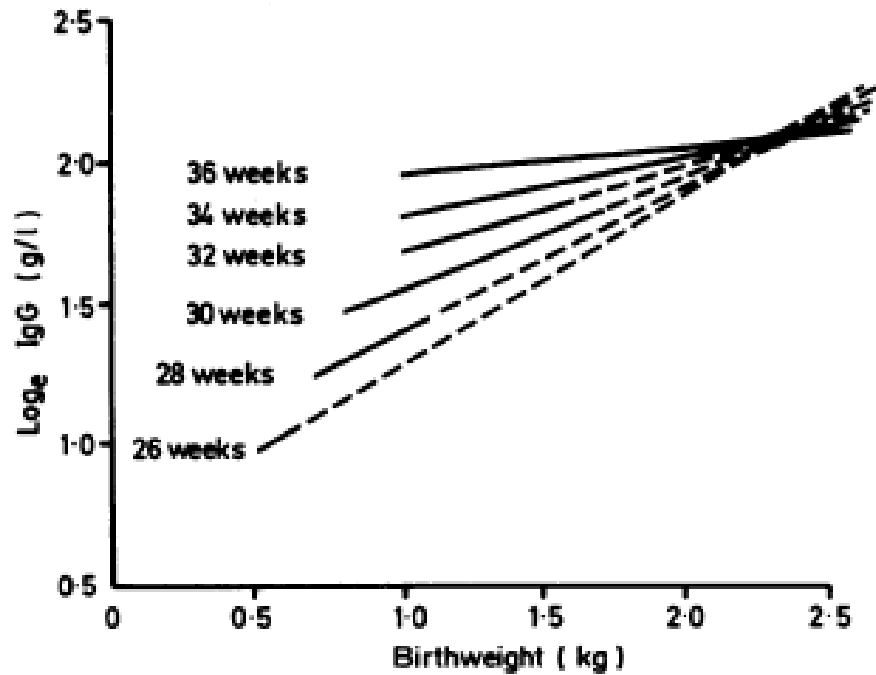


Fig. 1 *IgG* (\log_e g/l) against birthweight (X_2) and gestational age (X_1).

The regression equation:

$\log_e (\text{IgG}) = 2.084 - 0.0535(X_1 - 37.58) (X_2 - 2.318)$ is illustrated by $\log_e (\text{IgG})$ v birthweight at stated gestational ages, with the solid lines covering the range of data studied.

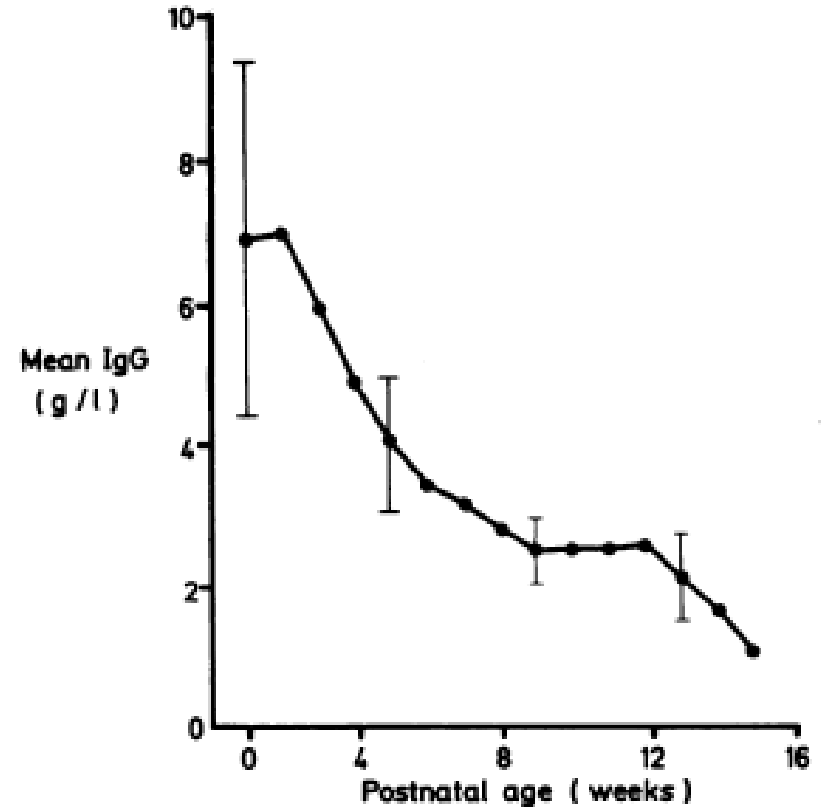


Fig. 3 *Change in IgG value with age.*

Regression equation $\log_e (\text{IgG}) = a_n - 0.0898 \text{ age}$. ($I = \text{ISD}$).

IgG values at birth ranged from 260 mg/dL to 1440 mg/dL
(median 600-700 mg/dL)

Background

- Possible immunomodulating mechanism
 - Enhancement of opsonic activity
 - Complement activation
 - Antibody-dependent cytotoxicity
 - Improvement in neutrophil chemiluminescence
 - Down-regulation of inflammatory cytokines

6. Baley JE. Neonatal sepsis: the potential for immunotherapy. *Clin Perinatol* 1988;15:755-71.

7. Melvan JN, Bagby GJ, Welsh DA, Nelson S, Zhang P. Neonatal sepsis and neutrophil insufficiencies. *Int Rev Immunol* 2010;29:315-48.

8. Christensen RD, Brown MS, Hall LC, Lassiter HA, Hill HR. Effect on neutrophil kinetics and serum opsonic capacity of intravenous administration of immune globulin to neonates with clinical signs of early-onset sepsis. *J Pediatr* 1991;118:606-14.

9. Mohan IV, Tarnow-Mordi W, Stenson B. Can polyclonal intravenous immunoglobulin (IVIg) limit cytokine mediated cerebral damage and chronic lung disease in preterm infants? *Arch Dis Child Fetal Neonatal Ed* 2004;89:F5-F8.

Background

- 2002 systematic review of 7 trials of adjunctive therapy with IVIG involving 338 newborn infants of any gestational age who had suspected or proven sepsis showed no difference in mortality
- Cochrane Database Syst Rev 2002; 1:CD001090

Background

- 2004 systematic review of 19 trials involving more than 5000 preterm or low-birth weight infants
- Prophylactic use of IVIG reduced the rate of late-onset infection by 3% with no significant reduction in the rates of death and adverse effects
- Cochrane Database Syst Rev 2004;1: CD000361.

Background

- 2 other systematic reviews suggested that adjunctive therapy with IVIG reduced mortality
- A 1998 review recommended use of IVIG routinely in cases of proven sepsis “a conclusion that many observers might find premature”
- Semin Perinatol 1998;22:50-63.

Background

- Cochrane 2010 review looking at 10 trials of varying quality on the use of IVIG for suspected or subsequently proven infection in neonates
- Mortality reduced among patients with clinically suspected infection in 7 trials involving 378 infants (RR 0.58; 95%CI 0.38 to 0.89)
- Mortality reduced in pts with subsequently proven infection in 7 trials involving 262 patients (RR 0.55; 95% CI 0.31 to 0.98)
- Cochrane Database Syst Rev 2010;3:CD001239

Background

- Systematic review of 14 randomized, controlled trials of therapy with IVIG in 1450 adults with sepsis suggested a substantial reduction in mortality
- When meta-analysis restricted to 738 patients in the 4 randomized, controlled trials of larger size or higher quality, the mortality reduction was LOST
- Crit Care Med 2007;35:2686-92.

Objective

- No trials of prophylaxis or therapy with IVIG have assessed subsequent disability
- This is a double-blind, randomized, placebo-controlled trial of adjunctive therapy with human nonspecific polyvalent IgG intravenous immunoglobulin in newborn infants who had suspected or proven sepsis and who were receiving antibiotic therapy

Study Design

- In accordance with the protocol for the International Neonatal Immunotherapy Study (INIS)
- Europe, Argentina, Australia, New Zealand
- Study drug purchased with fund from study grants
- Manufacturers had no role in trial design, conduct or analysis



Protocol

Non-specific intravenous immunoglobulin therapy for suspected or proven neonatal sepsis: an international, placebo controlled, multicentre randomised trial

April 2007
ISRCTN 94984750

Study Patients

- Inclusion criteria
 - Neonate receiving antibiotics for tx of proven or suspected serious infection with at least one of the following characteristics
 - Birth weight <1500g (3.3 lbs)
 - Evidence of infection in blood culture, csf, or usually sterile body fluid
 - Need for respiratory support through ET tube

Study patients

- Exclusion criteria
 - Previous administration of IVIG
 - Decision by clinical staff that IVIG was either definitely needed or contraindicated

Study patients

- Infants were randomly assigned in a blinded fashion to receive either IVIG or placebo
 - Europe + Argentina: Neonatal staff opened the next sequentially numbered study pack, which was stored in the NICU
 - Australia + New Zealand: hospital pharmacy contacted and next assignment taken from a randomization list

Clinical Management

- IVIG dose was 500mg/kg, administered once and repeated after 48 hours
- Europe + Argentina: IVIG + Placebo made by the Protein Fractionation Centre of the Scottish National Blood Transfusion Service
 - Active drug and placebo were reconstituted by clinical staff by mixing NS with freeze-dried plugs of study product, placebo was 0.2% albumin
- Australia + New Zealand: Pharmacy made up either Intragam P IVIG or placebo was (NS)

Clinical management

- Infused over 4 to 6 hours
- No further IVIG or placebo could be given after administration of the two doses
- Other aspects of management were left to the pediatrician responsible for the infant's care

Primary Outcome

- Primary outcome:
 - Rate of **death or major disability** at age 2 years with adjustment for gestational age

Primary Outcome

- Major disability assessed with questionnaires sent to parents and health care professionals
 - Neuromotor function, Seizure, Auditory function, Communication, Visual function, Cognitive function, Other physical disability

| PQ | Disability (PQ) | HSQ | Disability (HSQ) | Disability |
|--|-----------------|-------------------------|------------------|------------------|
| Unable to walk even with help | Major | Has an unsteady walk | Non-major | Major |
| Has an unsteady walk but doesn't need help | Non-major | Has an unsteady walk | Non-major | Non-major |
| Walks well without help | None | Walks well without help | None | None |
| Unable to walk without help | Major | No answer | Not known | Major |
| No answer | Not known | No answer | Not known | Not known |
| Walks well without help | None | | | None |
| | | Has an unsteady walk | None | Non-major |

Primary Outcome

- Cognitive function assessed on basis of parental reports with the use of the validated PARCA-R (Parent Report of Children's Abilities-Revised)
 - Score of <31 on a scale 0 to 158 used to identify major cognitive delay

Secondary short-term outcomes

- Rates of death before hospital discharge
- Chronic lung disease (O2 dependency 28 days after birth)
- Major cerebral abnormality
- Relevant positive culture after trial entry (and organism)
- Pneumonia
- Necrotizing enterocolitis
- Length of hospital stay

Secondary long-term outcomes

- At 2 years with adjustment for gestational age
- Rates of death
- Rates of major disability
- Rates of nonmajor disability
 - PARCA parents' questionnaire
 - questions respiratory function, hearing, vision, hospital admissions, relevant diagnoses and current function in a number of domains, allowing categorization of disability as major or non-major

Statistical Analysis

- Original sample-size estimate based on range of rates for the primary outcome because no reliable data were available
- Initially planned: enrollment of 5000 infants
 - event rates between 15 and 30% for a power of 90% to determine a relative reduction in risk of primary outcome of 14 to 25%
- After meeting of the independent data and safety monitoring committee in 12/2005, data for 2003 infants analyzed
 - steering committee advised that the primary outcome noted to be more frequent than estimated in protocol
 - enrollment of 3500 infants would provide power of 90% to determine a relative risk reduction of 14%

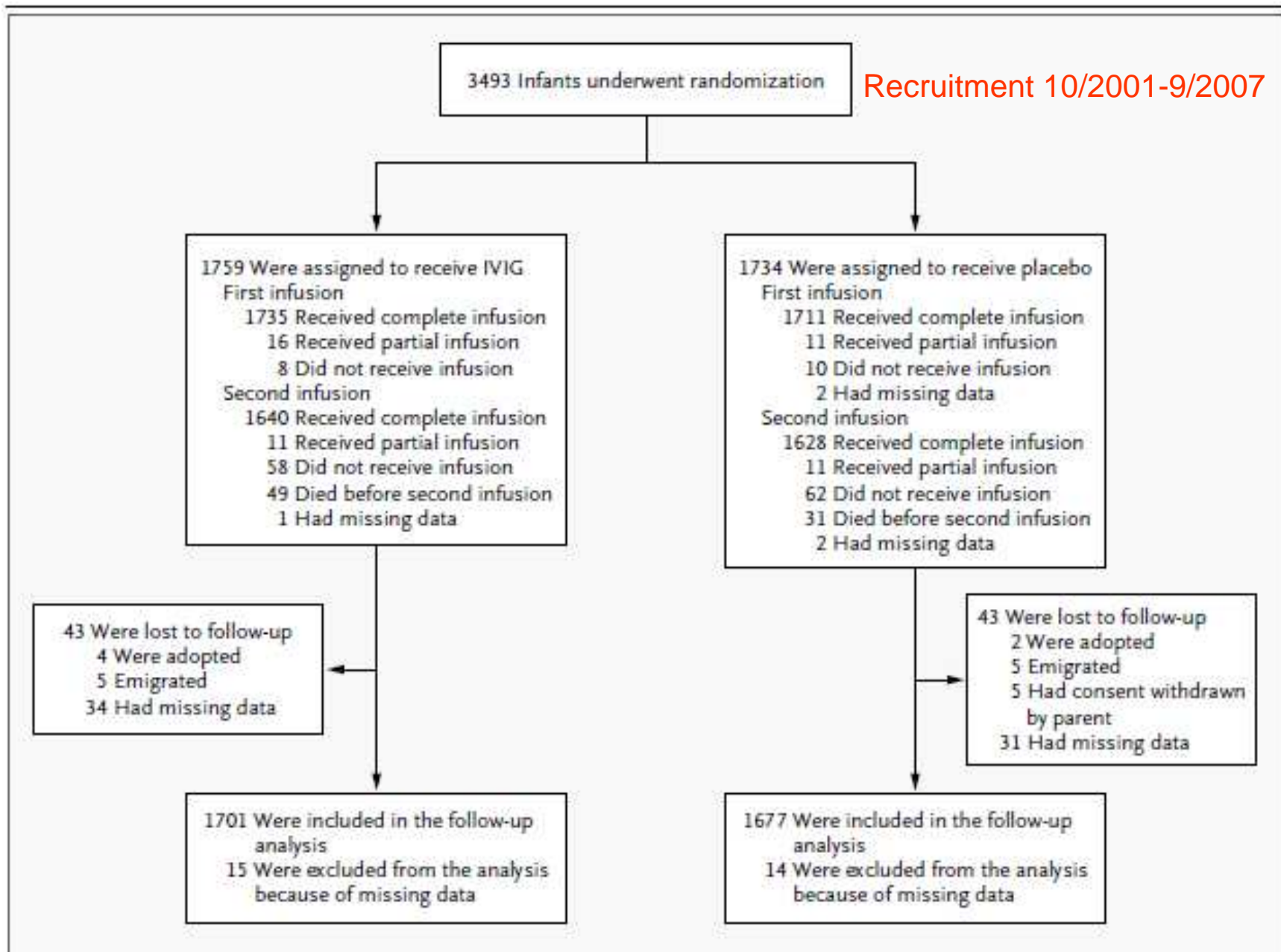


Figure 1. Enrollment and Outcomes.
 IVIG denotes intravenous immune globulin.

| Characteristic | Intravenous Immune Globulin (N=1759) | Placebo (N=1734) |
|--|--------------------------------------|------------------|
| Birth weight — g | | |
| Median | 1009 | 1000 |
| Interquartile range | 778–1426 | 770–1460 |
| Age at randomization — hr | | |
| Median | 204 | 204 |
| Interquartile range | 74–408 | 74–399 |
| Male sex — no. (%) | 993 (56.5) | 1007 (58.1) |
| Gestational age at birth — wk | | |
| Median | 28 | 28 |
| Interquartile range | 26–31 | 26–31 |
| Evidence of infection in blood culture, cerebrospinal fluid, or normally sterile body fluid — no. (%) [†] | | |
| Any site | 739 (42.0) | 728 (42.0) |
| Blood | 694 (39.5) | 677 (39.0) |
| Cerebrospinal fluid | 91 (5.2) | 72 (4.2) |
| Other site | 26 (1.5) | 36 (2.1) |
| Cause of infection | | |
| No. of infants evaluated | 739 | 728 |
| Early-onset infection — no. (%) | | |
| Group B streptococcus | 19 (2.6) | 13 (1.8) |
| Other pathogen | 25 (3.4) | 35 (4.8) |
| Indeterminate cause | 1 (0.1) | 4 (0.5) |
| Late-onset infection — no. (%) | | |
| Coagulase-negative staphylococcus | 297 (40.2) | 286 (39.3) |
| Gram-positive organism except coagulase-negative staphylococcus | 169 (22.9) | 160 (22.0) |
| Gram-negative organism | 148 (20.0) | 145 (19.9) |
| Fungal infection | 17 (2.3) | 24 (3.3) |
| Other pathogen | 5 (0.7) | 5 (0.7) |
| Indeterminate cause | 14 (1.9) | 16 (2.2) |
| >1 Type of infection | 43 (5.8) | 40 (5.5) |
| Bowel perforation or definite necrotizing enterocolitis — no. (%) | 124 (7.0) | 125 (7.2) |
| Surgery in previous 7 days — no. (%) | 55 (3.1) | 59 (3.4) |

Infant and maternal characteristics were very similar in both the treatment and the placebo groups

Table 1. (Continued.)

| Characteristic | Intravenous Immune Globulin (N=1759) | Placebo (N=1734) |
|--|---|-----------------------------|
| Respiratory support through endotracheal tube — no. (%) | 1136 (64.6) | 1126 (64.9) |
| Risk of death — no. (%)‡ | | |
| High | 304 (17.3) | 299 (17.2) |
| Intermediate | 1071 (60.9) | 1022 (58.9) |
| Other | 384 (21.8) | 413 (23.8) |
| Maternal clinical chorioamnionitis — no. (%) | | |
| Patients with condition | 251 (14.3) | 277 (16.0) |
| Missing data | 261 (14.8) | 256 (14.8) |
| Elevated maternal C-reactive protein, >80 mg/liter — no. (%) | | |
| Yes | 38 (2.2) | 47 (2.7) |
| Not measured | 1129 (64.2) | 1116 (64.4) |
| Missing data | 330 (18.8) | 333 (19.2) |
| Duration of membrane rupture — no. (%) | | |
| <24 hr | 1007 (57.2) | 992 (57.2) |
| 24–48 hr | 57 (3.2) | 45 (2.6) |
| >48 hr | 291 (16.5) | 297 (17.1) |
| Missing data | 404 (23.0) | 400 (23.1) |
| Source of intravenous immune globulin or placebo — no. (%) | | |
| United Kingdom, Europe, or Argentina | 1062 (60.4) | 1033 (59.6) |
| Australia or New Zealand | 697 (39.6) | 701 (40.4) |

Results

- 98.3% of infants received the first infusion
- 93.5% received the second infusion
- 2.3% of infants died between the first and second infusion
- 18 infants 0.5% did not receive any infusion

Results

Table 2. Main Study Outcomes.*

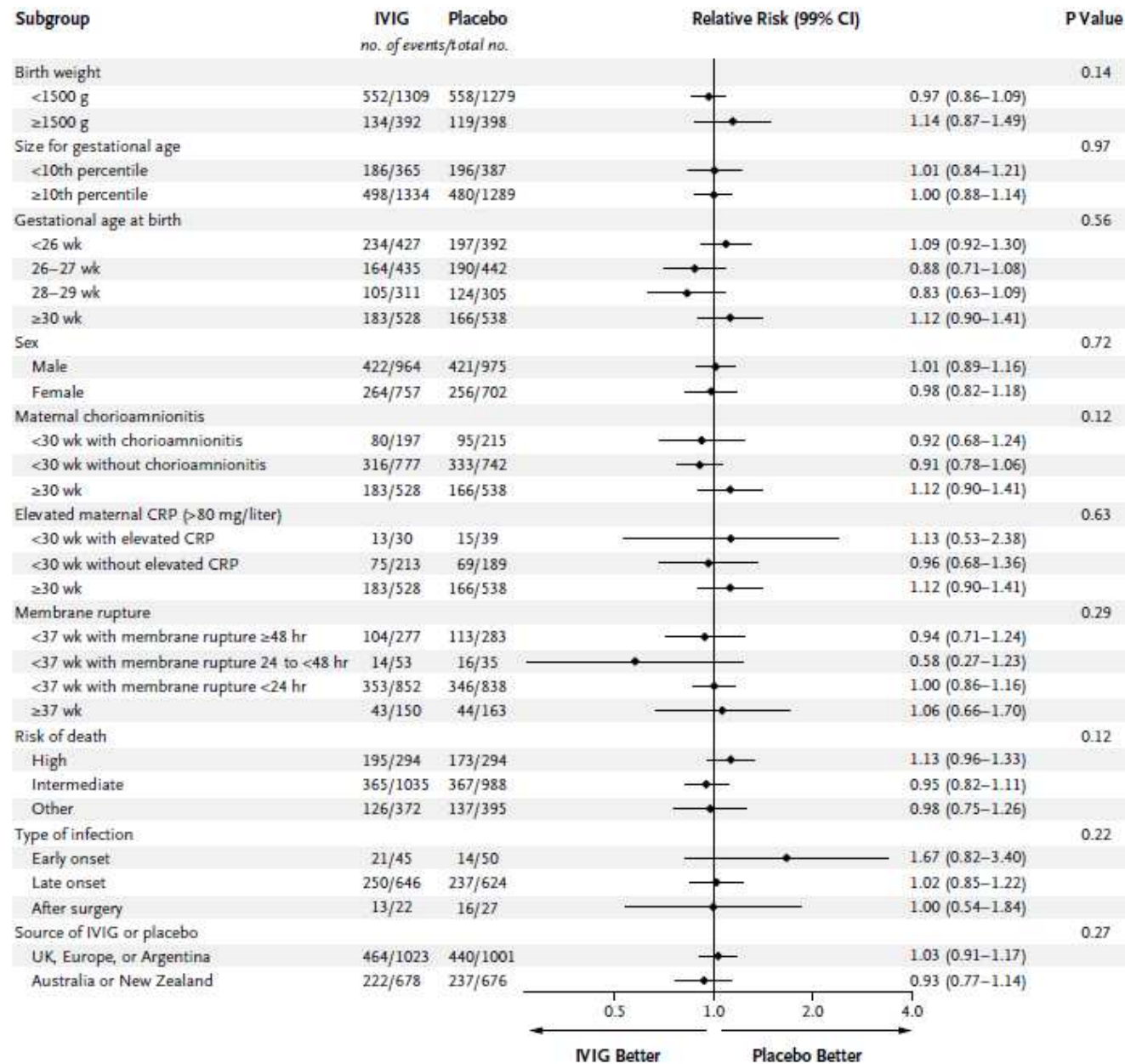
| Outcome | Intravenous Immune Globulin | Placebo | Relative Risk (95% CI)† |
|--|-----------------------------|-----------------|-------------------------|
| Primary outcome | | | |
| Death or major disability at 2 yr — no./total no. (%)‡ | 686/1759 (39.0) | 677/1734 (39.0) | 1.00 (0.92–1.08) |

- Of note, this result was unaltered by varying the cutoff point used to define major disability for the cognitive domain

Table 2. Main Study Outcomes.*

| Outcome | Intravenous Immune Globulin | Placebo | Relative Risk (95% CI) [†] |
|---|-----------------------------|-----------------|-------------------------------------|
| Secondary outcomes | | | |
| Death at 2 yr — no./total no. (%) | 322/1759 (18.3) | 306/1734 (17.6) | 1.04 (0.90–1.20) |
| Disability at 2 yr — no./total no. (%) [‡] | | | |
| Major | 364/1437 (25.3) | 371/1428 (26.0) | 0.98 (0.86–1.10) |
| Nonmajor | 480/1437 (33.4) | 470/1428 (32.9) | |
| None | 535/1437 (37.2) | 530/1428 (37.1) | |
| Death in hospital — no./total no. (%) | 292/1759 (16.6) | 287/1734 (16.6) | 1.00 (0.86–1.16) |
| Use of supplemental oxygen on day 28 — no./total no. (%) [§] | 779/1394 (55.9) | 794/1391 (57.1) | 0.98 (0.92–1.04) |
| Major cerebral abnormality — no./total no. (%) | 234/1759 (13.3) | 201/1734 (11.6) | 1.15 (0.96–1.37) |
| Confirmed sepsis after trial entry — no./total no. (%) | 461/1759 (26.2) | 458/1734 (26.4) | 0.99 (0.89–1.11) |
| Any | 461/1759 (26.2) | 458/1734 (26.4) | 0.99 (0.89–1.11) |
| 1 episode | 332/461 (72.0) | 321/458 (70.1) | |
| ≥2 episodes | 129/461 (28.0) | 137/458 (29.9) | |
| Cause of confirmed sepsis — no./total no. (%) [¶] | | | |
| Gram-positive organism except coagulase-negative staphylococcus | 97/461 (21.0) | 103/458 (22.5) | |
| Coagulase-negative staphylococcus | 302/461 (65.5) | 281/458 (61.4) | |
| Gram-negative organism | 100/461 (21.7) | 121/458 (26.4) | |
| Fungal organism | 43/461 (9.3) | 46/458 (10.0) | |
| Other pathogen | 17/461 (3.7) | 19/458 (4.1) | |
| Indeterminate cause | 23/461 (5.0) | 14/458 (3.1) | |
| Pneumonia — no./total no. (%) | 224/1759 (12.7) | 221/1734 (12.7) | 1.00 (0.84–1.19) |
| Necrotizing enterocolitis — no./total no. (%) | | | |
| New episode | 132/1759 (7.5) | 120/1734 (6.9) | 1.08 (0.85–1.37) |
| With bowel perforation or definite necrotizing enterocolitis at trial entry | 17/1759 (1.0) | 10/1734 (0.6) | |
| Infants discharged home from hospital | | | |
| Total no. | 1467 | 1445 | |
| Duration of hospital stay — days | | | |
| Median | 64 | 64 | NA |
| Interquartile range | 37–92 | 37–93 | |

- Secondary Outcomes
 - No significant differences
 - Including rates of subsequent episodes of sepsis and causative organisms
 - Also no significant difference in rates of cerebral palsy (8.5% of IVIG and 8.3% of placebo)



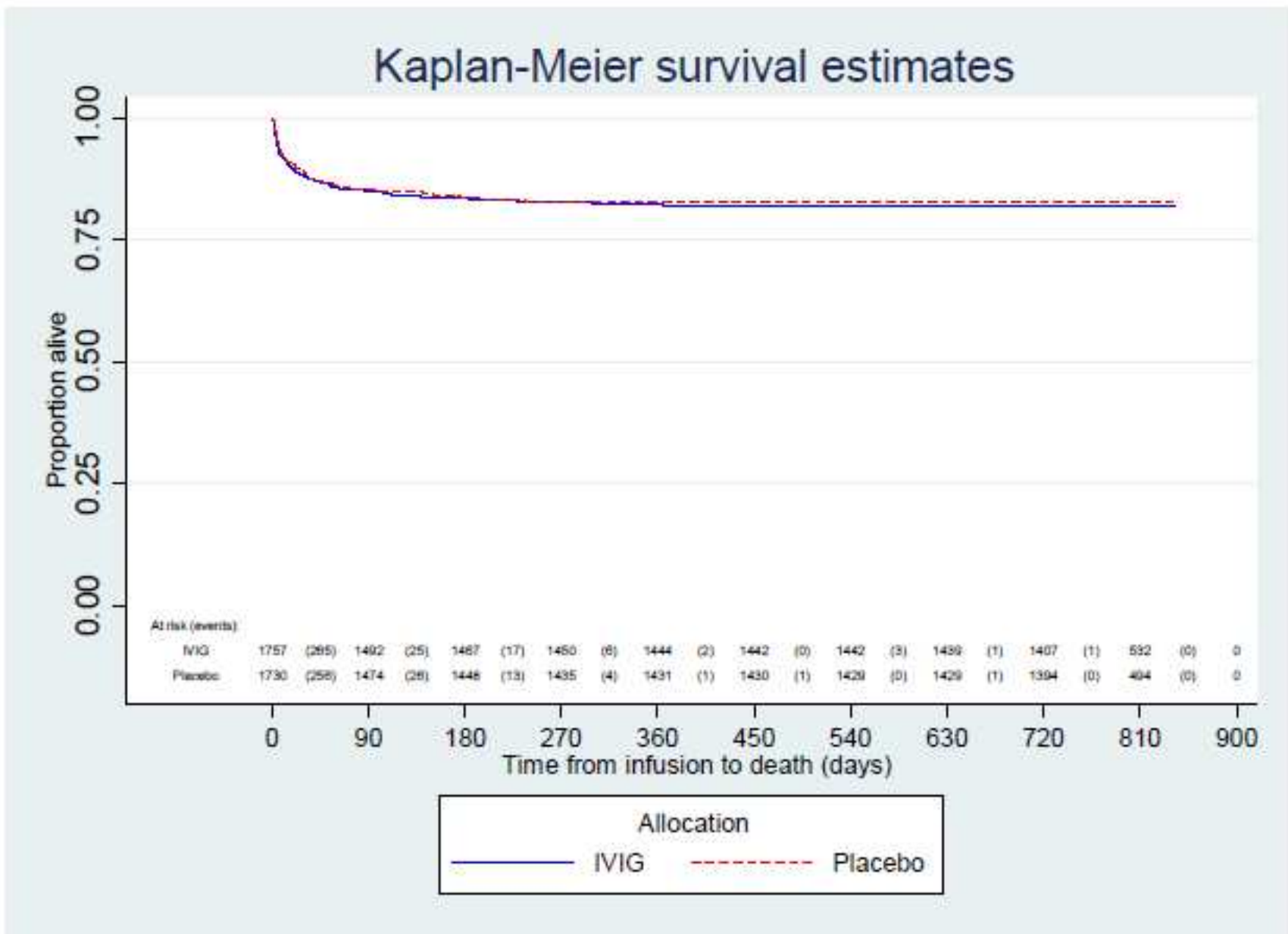
Subgroup Analysis of Primary Outcome:

No significant difference in any prespecified group

Figure 2. Subgroup Analyses of Rates of Death or Major Disability at 2 Years of Age (Primary Outcome).

The P value for the gestational age at birth was calculated by means of the chi-square test for trend. CRP denotes C-reactive protein, IVIG intravenous immune globulin, and UK United Kingdom.

Figure S1: Survival curves for mortality by allocated group²



Discussion

- Unable to accurately determine the proportion of eligible infants who were recruited to participate in the trial
- Thresholds for study entry varied according to the center and the individual clinician
 - Serious suspected or proven infection, no micro evidence required

Discussion

- Study similar to similar to earlier trials with respect to dose of IVIG used and characteristics of infants at the time of presentation with clinical sepsis
- Compliance with the protocol was very high
- Inclusion criteria were broad
- The prespecified subgroup analysis included larger numbers of neonates than the existing meta-analyses of ALL neonatal data

Discussion

- Important feature of study is assessment of later disability
 - Information about outcome at 2 years was available for 97% of surviving infants
- On basis of established role of IVIG in modifying the course of inflammatory conditions of the CNS in adults, authors hypothesized that the immunomodulatory effects of IVIG might extend to inflammatory injury in the developing brain or lungs
 - No difference in any measure of CNS function, even when restricted to preterm infants (26 to 29 wks gestation)
 - No difference in rates of oxygen dependency at 28 days

Discussion

- In 3 earlier trials, IVIG enriched with IgM was given
 - Although these trials were small, not randomized, placebo-controlled, or blinded

Conclusion

- IVIG was not associated with significant differences in the risk of major complications or other adverse outcomes in neonates with suspected or proven sepsis