

# Combined Immunodeficiency Associated with DOCK8 Mutations

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# Background - Immune deficiency

## *Severe Combine Immune Deficiency (SCID)*

- Impaired T-cell function
- Severe T cell deficiency
- Decreased or dysfunctional B cells and NK cells
- Less severe forms - skin diseases with elevated IgE and hypereosinophilia
- Multiple mutations identified

# Background (cont'd)

## *Hyper-IgE Syndrome (HIES)*

- Autosomal dominant form 60-70% due to STAT3 mutation → decreased differentiation of Th17 cells
  - Severe eczema
  - Recurrent skin infections, often staph aureus
  - Mucocutaneous candidiasis
  - Recurrent sinopulmonary infections
  - Elevated serum IgE and eosinophilia
  - Skeletal abnormalities (ex: scoliosis)

# Background (cont'd)

## *Hyper-IgE Syndrome (HIES)*

- Autosomal recessive form
  - Recurrent sinopulmonary infections
  - Eczema
  - Elevated serum IgE
  - Recurrent cutaneous viral infections
  - No skeletal abnormalities
  - Vasculitis, central nervous system abnormalities
  - Loss of function mutation in tyr kinase 2 gene implicated

# HIES Scoring System

Clinical Findings	0	1	2	3	4	5	6	7	8	10
Highest IgE (IU/mL)	<200	200-500			501-1000				1001-2000	>2000
Total # skin abscesses/boils	None		1-2		3-4				>4	
Total # pneumonias	None		1		2		3		>3	
Parenchymal Lung Abnormalities	None						Bronchi- etasis		Pneuma- tocele	
Other serious infection	None				Present					
Fatal Infection	None				Present					
Highest Eosinophils/uL	<700			701-800			>800			
Newborn Rash	None				Present					
Eczema (worst stage)	None	Mild	Moderate		Severe					
Sinusitis/Otitis (# in worst year)	1-2	3	4-6		>6					
Candidiasis	None	Oral, vaginal	Fingernail		Systemic					
Retained primary teeth	None	1	2		3				>3	
Scoliosis (max. curvature)	<10		10-14		15-20				>20	
Minimal trauma fractures	None				1-2				>2	
Hyperextensibility	None				Present					
Characteristic Face	None		Mild			Present				
Increased Interalar Distance	<1 SD	1-2 SD		>2 SD						
High Palate	None		Present							
Congenital Anomaly	None					Present				

# Study Overview

- Describe 11 patients with loss-of-function mutations in the dedicator of cytokinesis 8 (DOCK8) gene
- Patients had immunodeficiency with low numbers of T, B, and NK cells
- Extensive cutaneous viral infections
- Susceptibility to malignancy

# Methods

- All protocols were approved by the IRB of the NIAID
- T-cell subgroups were isolated by negative selection then stimulated with anti-CD3 and anti-CD28 antibodies and cultured in IL-2
- B-cells immortalized with EBV
- T cells immortalized with herpesvirus saimiri
- Comparative genomic hybridization analyses performed with 244K arrays
- DNA sequencing performed after PCR amplification
- Immunoblotting performed with polyclonal rabbit anti-DOCK8 antibodies
- Novel variants identified in the 11 index patients were sought in other groups
  - 6 pts with autosomal dominant hyperIgE syndrome (HIES)
  - 32 pts with other immunologic diseases
  - 15 healthy blood donors
  - 100 healthy white controls

# Patient Characteristics

Supplemental Table 2. Characteristics of Patients												
Variable	Patient 1-1	Patient 2-1	Patient 3-1	Patient 4-1	Patient 4-2	Patient 5-1	Patient 5-2	Patient 6-1	Patient 7-1	Patient 8-1	Patient 8-2	
Age (yr)	6	21†	18†	17	14	21	14	14	13†	18†	16	
Sex	female	male	female	male	female	female	female	male	female	female	male	
Ethnicity	Yemeni	Lebanese	Caucasian	Caucasian	Caucasian	Caucasian	Caucasian	Caucasian	Caucasian	Mexican	Mexican	
Atopy												
Allergic dermatitis	(+)	(+)	(+)	(+)	(+)	(+)	(+)	(+)	(+)	(+)	(+)	
Allergies	Food - beef, cow's milk, egg, sesame; Environmental - Bermuda grass, mountain cedar	Food - avocado, banana, beef, cantaloupe, carrot, cow's milk, cucumber, egg, kiwi, lamb, lentil, mango, mustard, pea, pineapple, platechic, pomegranate, salmon, sesame, shrimp, watermelon, wheat, yeast*; Environmental - seasonal rhinitis to <i>Alternaria</i> , ragweed, bees	Food - catfish, eggs, peanuts, shellfish*	Drug - cefadroxil	Drug - penicillin	Food - apple, corn, cow's milk, egg, fish, legume, peanut, shellfish, soy, tree nuts; wheat; Drug - penicillin, sulfis;	Food - cow's milk, egg, legumes, peanuts, tree nuts*; Drug - clarithromycin, penicillin, sulfis;	Food - crab, egg, tree nuts*; Environmental - seasonal rhinitis	Food - bananas, goat's milk, onion, peanut, soy, tuna*; Drug - sulfis	Food - beans, beef, chicken, cow's milk, egg, fish, peanut, pork, tree nuts, tomato*; Drug - Cefixime, Lactinex, Propofol*; Environmental - dust, dog, grasses, mold	Food - beef, chicken, cow's milk, egg, peanut, pork*; Environmental - dust, dog, mold	
Asthma	(-)	(+)	(+)	(+)	(+)	(+)	(+)	(-)	(-)	(-)	(-)	
Infections												
Skin and soft tissue	Diaper dermatitis	<i>Staphylococcus aureus</i> skin abscesses, axillary lymphadenitis, otitis externa	<i>Staphylococcus aureus</i> skin abscesses, otitis externa	(-)	(-)	<i>Staphylococcus aureus</i> skin abscesses	<i>Staphylococcus aureus</i> impetigo and skin infections, otitis externa	Skin abscesses, impetigo	<i>Staphylococcus aureus</i> skin abscesses, otitis externa	<i>Staphylococcus aureus</i> skin infections, otitis externa	<i>Staphylococcus aureus</i> skin infections, <i>Acinetobacter baumannii</i> otitis externa	
Respiratory tract	Recurrent otitis media, pneumonia§§	Recurrent otitis media, sinusitis, adenoviral pneumonia§§	<i>Streptococcus pneumoniae</i> , non-typeable <i>Haemophilus influenzae</i> , RSV pneumonia; otitis media	Recurrent otitis media, sinusitis, bronchitis, croup§§	Recurrent otitis media, sinusitis, pneumonia, bronchitis§§	Recurrent otitis media, sinusitis, mastoiditis, pneumonia including <i>Pneumocystis jirovecii</i> §§	Recurrent otitis media, sinusitis, <i>Pneumocystis jirovecii</i> and <i>Haemophilus influenzae</i> pneumonia§§	Recurrent otitis media, sinusitis§§	Recurrent pneumonia, mastoiditis	(-)	Otitis media, sinusitis, pneumonia	
Viral infections	Recurrent orolabial HSV*	Diffuse flat warts, herpes zoster	HSV - keratitis, eczema herpeticum, recurrent genital infections; diffuse molluscum contagiosum	Diffuse flat warts, diffuse molluscum contagiosum, severe primary varicella*	Recurrent orolabial HSV, diffuse flat warts, diffuse molluscum contagiosum	Diffuse molluscum contagiosum, verrucous warts on fingers, recurrent herpes zoster*	Diffuse flat warts, persistent orolabial HSV	Flat and verrucous warts on face, trunk, extremities*	HSV - keratitis, eczema herpeticum, recurrent orolabial, eyelid, ear canal, and genital infections; Verrucous warts on fingers*	HSV keratitis, eczema herpeticum, herpes zoster	HSV keratitis and groin infection, eczema herpeticum, diffuse molluscum contagiosum*	
Other	Oral candidiasis, tooth decay	Pericarditis	<i>Salmonella enteritis</i> , giardiasis, <i>Staphylococcus aureus</i> osteomyelitis, vaginal candidiasis	(-)	<i>Salmonella enteritis</i>	Oral candidiasis	Oral candidiasis	<i>Staphylococcus aureus</i> osteomyelitis, nail candidiasis	Vaginal candidiasis	<i>Haemophilus influenzae</i> and cryptococcal meningitis; recurrent <i>Staphylococcus aureus</i> and <i>Acinetobacter baumannii</i> sepsis	(-)	
Malignancies	(-)	Metastatic anal squamous cell carcinoma	Paranasal and vulvar squamous cell carcinoma; cutaneous T-cell lymphoma	(-)	(-)	(-)	(-)	(-)	Vulvar squamous cell carcinoma	(-)	(-)	
Additional history	Poor growth, high forehead, thinning hair	Eosinophilic esophagitis, eosinophilic dermatitis, eosinophilic lung disease, bronchiectasis, hypoplasia	Poor growth	Cavernous angioma	(-)	Eosinophilic esophagitis, bronchiectasis and lung cyst, high-arched palate, hyperextensibility	High-arched palate, minimal trauma fracture	Bronchiectasis, scoliosis, high-arched palate	Retained primary teeth, pneumothorax, Delayed puberty poor growth	Retained primary teeth		
HGS score*	40	Not done	Not done	27	30	33	62	42	61	54	42	

† deceased  
 \* anaphylaxis  
 † tympanostomy tubes  
 § sinus surgery  
 ¶ IFN- $\alpha$  treatment

\* of maximal score of 111 (without age correction); scoring criteria according to Grimbacher B, Schäffler AA, Holland SM, et al. Genetic linkage of hyper-IgE syndrome to chromosome 4. *N Am J Hum Genet* 1999;65:735-44.



# Index Patients

- Three patients from a group with undefined combined immunodeficiencies were found to carry mutations in the DOCK8 gene
- Shared some clinical features with HIES

	Patient 1-1	Patient 2-1	Patient 3-1
<b>Sex/ethnicity</b>	Female/Yemeni	Male/Lebanese	Female/Caucasian
<b>Atopy</b>	Atopic dermatitis, multiple food and environmental allergies	Atopic dermatitis, multiple food and environmental allergies, asthma, EoE	Atopic dermatitis, multiple food allergies, asthma
<b>Skin infections</b>	Diaper cellulitis	Staph skin abscesses, otitis externa, Eo dermatitis	Staph skin abscesses, otitis externa
<b>Respiratory infections</b>	Otitis media, PNA	Otitis media, sinusitis, adenoviral PNA, bronchiectasis, Eo lung disease	PNA, H. flu, RSV PNA otitis media
<b>Viral infections</b>	Recurrent orolabial HSV	Diffuse flat warts, zoster	HSV, diffuse molluscum contagiosum
<b>Other infections</b>	Oral candidiasis, tooth decay	Pericarditis	Salmonella enteritis, giardiasis, Staph osteomyelitis, vaginal candidiasis
<b>Malignancies</b>	None	Metastatic anal squamous cell carcinoma	Paranasal and vulvar squamous cell carcinoma, cutaneous T-cell lymphoma
<b>HIES score</b>	40	N/A	N/A

# Patient Characteristics - Atopy

- After identification of the 3 index cases, sought additional patients to total 11 patients from 8 families
- All patients had atopic dermatitis
- 9/11 had severe and extensive food allergies including anaphylaxis
- 6/11 had reactive airway disease or asthma
- 2/11 had EoE or Eo lung disease

# Patient Characteristics - Respiratory Infections

- All patients had recurrent respiratory tract infections
- Most had otitis media requiring tympanostomy tube placement
- 2/11 had mastoiditis
- 7/11 had recurrent sinusitis
- 9/11 had recurrent PNA, bronchitis, and/or bronchiectasis
- Pulmonary pathogens included
  - Strep pneumo
  - H. flu
  - PCP
  - Respiratory adenovirus
  - RSV

# Patient Characteristics - Viral Infections

- All had extensive, frequently co-existing, cutaneous viral infections
- 7/11 had HSV manifesting as recurrent orolabial or anogenital HSV, dermatitis, or eczema herpeticum
- 7/11 had persistent flat/verrucous warts
- 5/11 had extensive molluscum contagiosum
- 2/11 had recurrent herpes zoster
- 1/11 had severe primary varicella infection

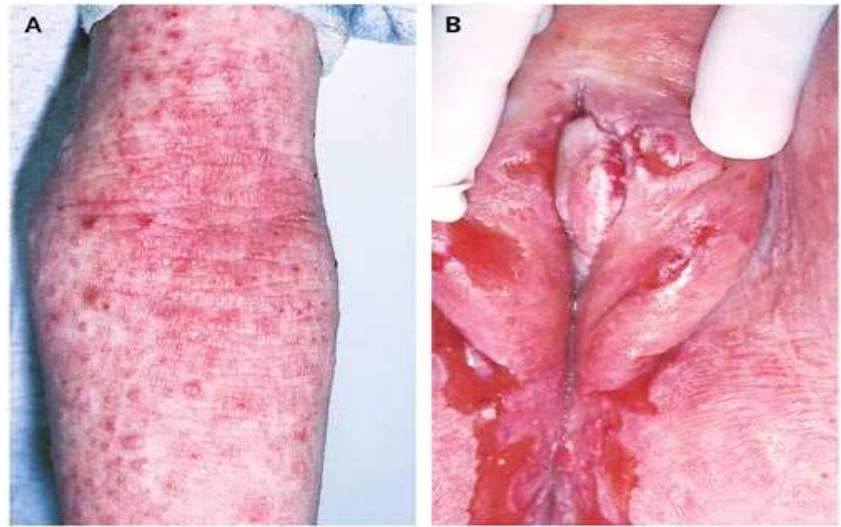
# Characteristic Dermatologic Findings

A: Atopic Dermatitis

B: Herpes simplex virus

C: Human papilloma virus

D: Molluscum contagiosum



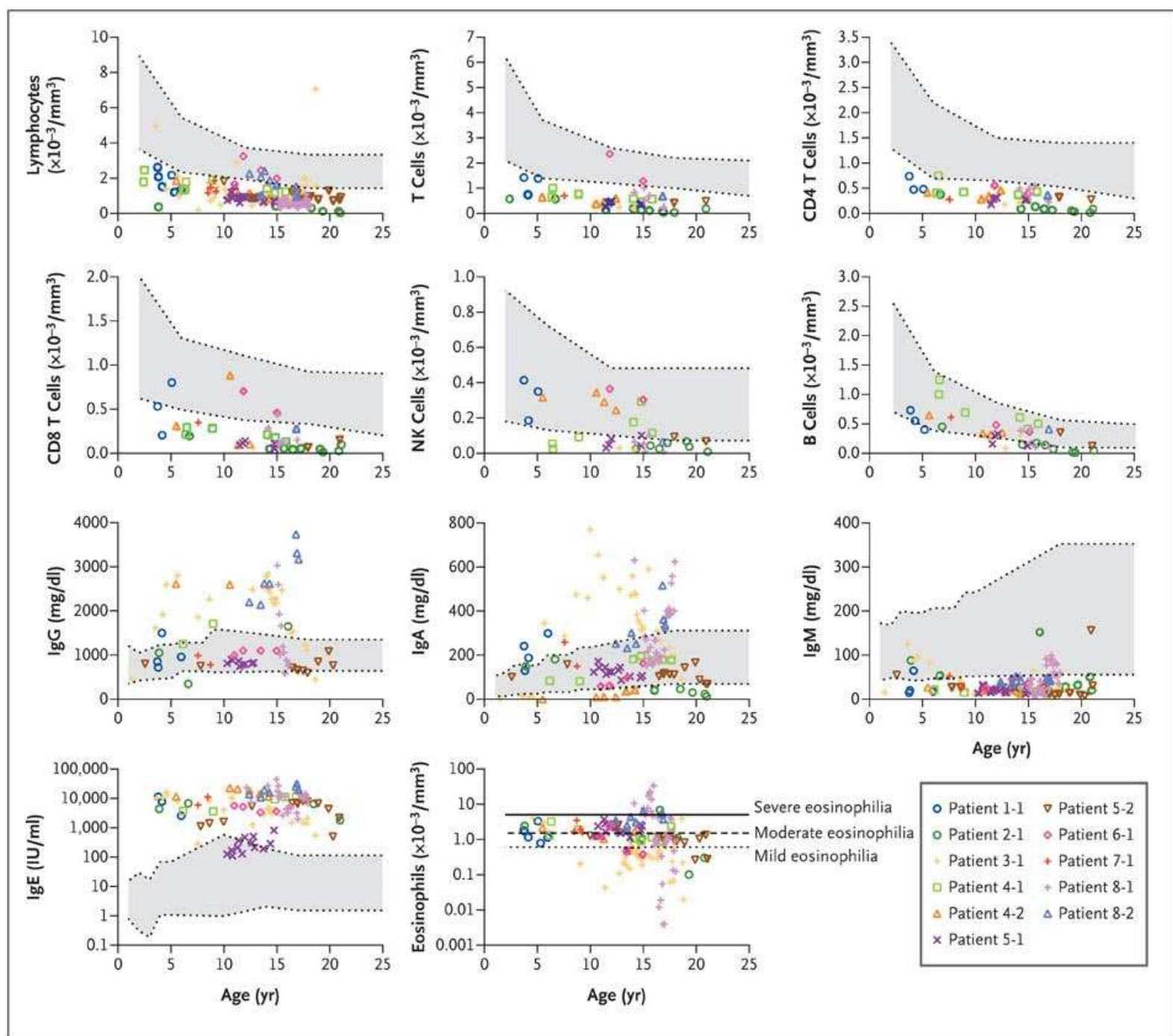
# Patient Characteristics - Skin Infections

- 8/11 had staph skin infections or abscesses
- 2/11 had staph osteomyelitis
- 5/11 had mucosal or nail candidiasis
- 6/11 had recurrent otitis externa
- 1/11 had cryptococcal and H. flu meningitis
- Other infections: salmonella enteritis, giardiasis, pericarditis

# Patient Characteristics - Malignancy

- 3/11 developed malignancy in late childhood or early adulthood
- Cancers occurred in patients with long-standing HSV, HPV, and molluscum including vulvar, facial, and anal squamous-cell carcinoma
- Two died from metastatic squamous-cell carcinoma
- One died from cutaneous T-cell lymphoma-leukemia

# Immunologic Assessment





# Immunologic Assessment

- 9/11 had low absolute lymphocyte counts
- 10/11 had low total T cell counts
- 11/11 had low CD4 counts
- 10/11 had low CD8 counts
- CD4/CD8 ratios were normal
- Tregs were assessed by CD4+/CD25+/FOXP3+, decreased due to lymphopenia in 2/11 patients but proportionally normal
- 6/11 had low NK cells
- 5/11 had low B cells
- 10/11 had mild to moderate eosinophilia

# Immunologic Assessment (cont'd)

- 6/11 had hypogammaglobulinemia
- 5/11 had normal IgG
- IgA levels varied
- IgM levels were uniformly low (mean 35 +/- 13, normal >49)
- All patients had high IgE (range 818-43,600)
- 6/11 patients received IVIg therapy

# Specific Antibody Responses

**Supplemental Table 3. Specific antibody function of patients.**

Specific antibodies to	Patient 1-1	Patient 2-1	Patient 3-1	Patient 4-1	Patient 4-2	Patient 5-1	Patient 5-2	Patient 6-1	Patient 7-1	Patient 8-1	Patient 8-2
Tetanus toxoid	(-)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(+)	(-)	(-)
Diphtheria		(-)	(-)	(+)	(+)	(-)	(-)	(+)	(-)	(+)	(+)
Pneumococcal†	20/23		1/3	21/23	20/23	1/23	23/23	7/23		5/23	10/23
Rubella	(+)		(+)	(+)	(+)	(+)	(+)	(+)			(+)
<i>Haemophilus influenzae</i> type B	(+)	(-)		(+)	(+)	(-)	(+)	(-)		(-)	(+/-)
Varicella-zoster virus	(+)		(+)			(+)	(+)				
Measles			(-)							(-)	
Poliovirus	(-)		(-)								
Bacteriophage øX174				(-)	(-)						
Mumps			(-)								
Hepatitis B virus									(+)		(-)
Started on IVIG	no	yes	no	yes*	yes*	yes	yes	no	no	yes	no

(-), (+), (+/-) indicate whether protective levels of antibodies were absent, present, or indeterminate, respectively

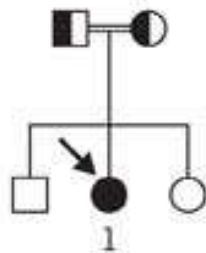
†Number of serotypes showing positive response of those tested

\*IVIG trial not continued

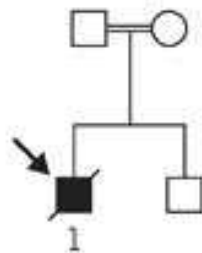
# Pedigree Analysis

## A Family Pedigrees

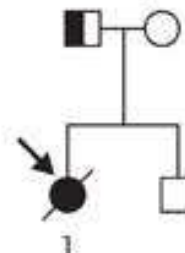
Family 1



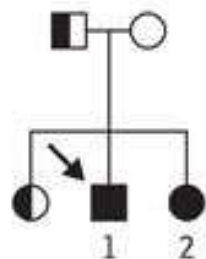
Family 2



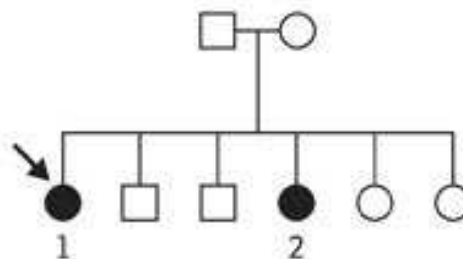
Family 3



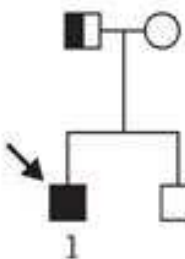
Family 4



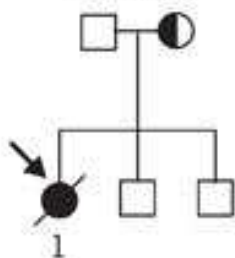
Family 5



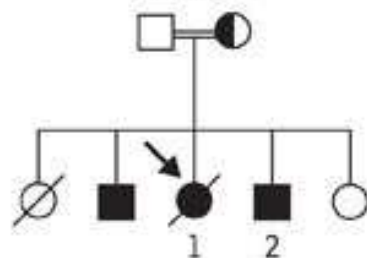
Family 6



Family 7



Family 8



■ Affected, homozygous or compound heterozygous

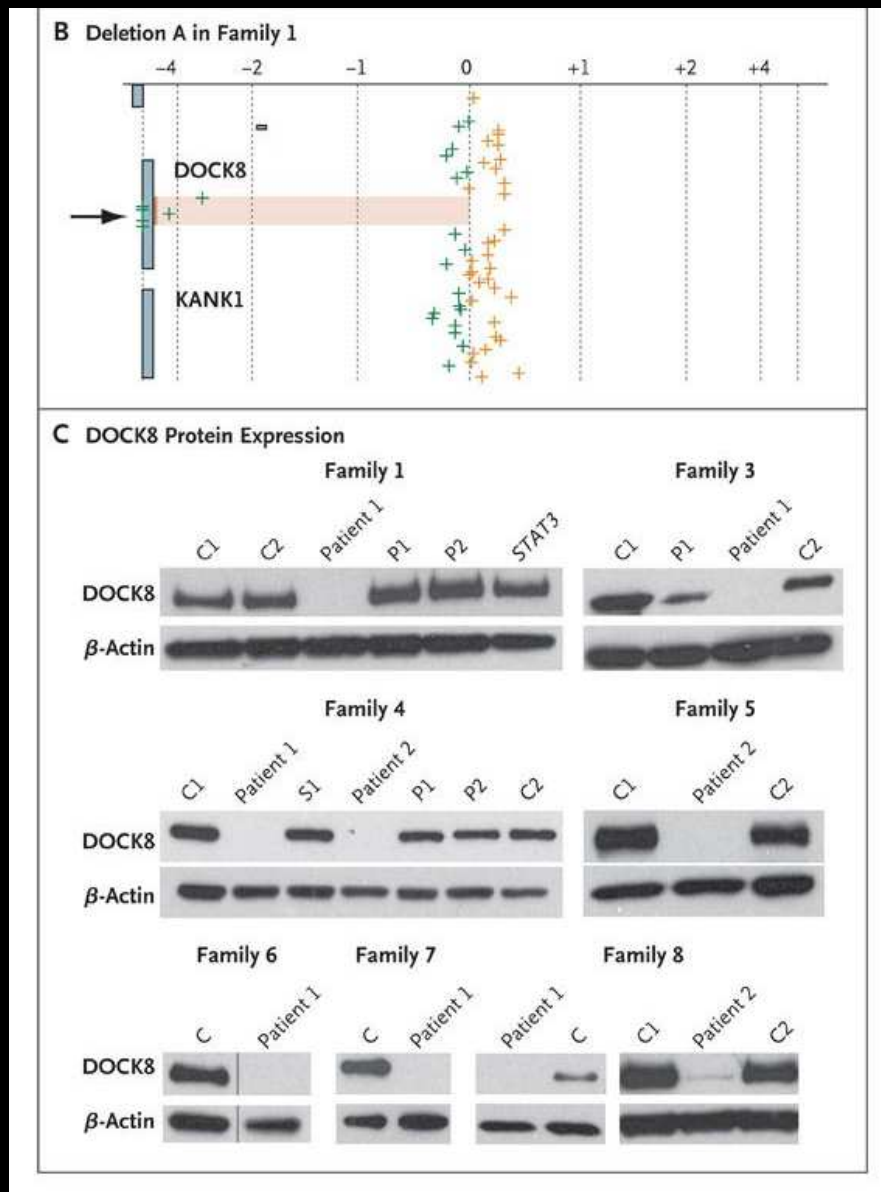
◻ Unaffected, heterozygous

◻ Unaffected, genotype unknown

# DOCK8 Mutation Analysis

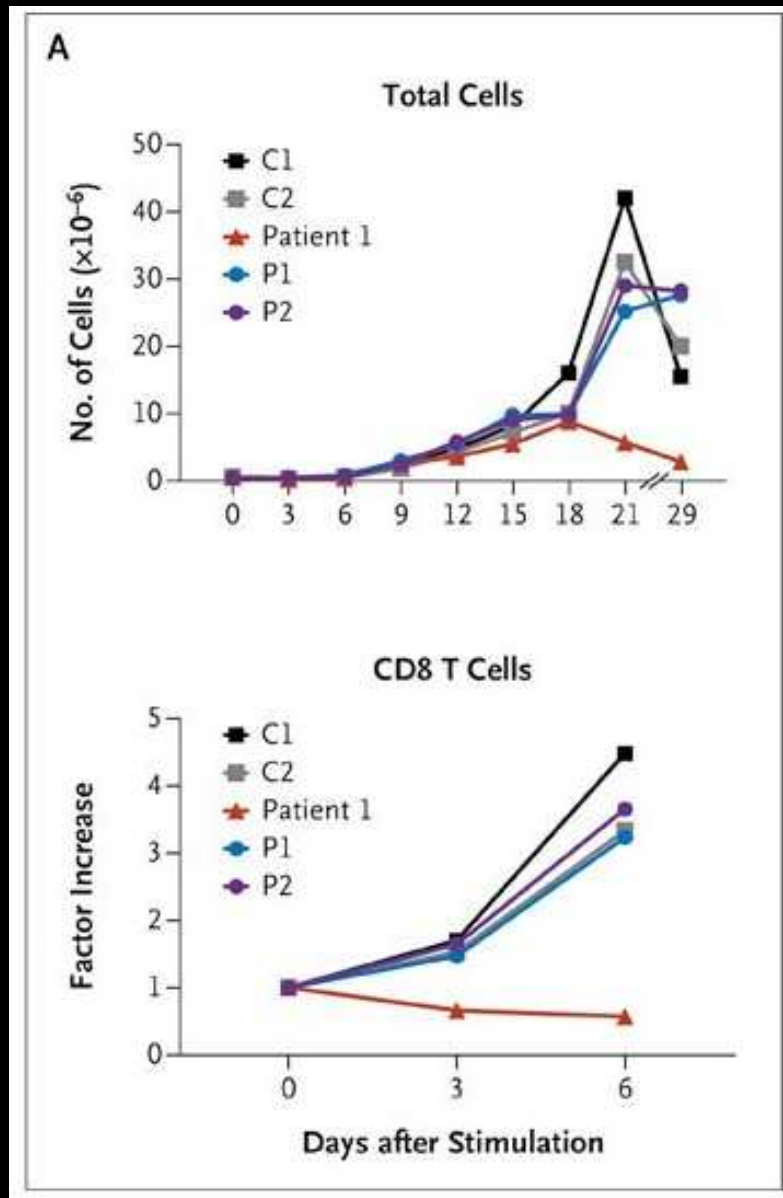
- Families 1 and 2 had homozygous deletions in the DOCK8 gene
- Deletions were confirmed by the failure of PCR to amplify deleted exons
- Deletions were not detected in any of the 38 patients with other immune disorders (including 6 HIES) or 115 controls
- Families 3,4,5, and 6 had heterozygous deletions in the DOCK8 gene
- Heterozygous deletions resulted in apparent homozygosity of SNPs within the corresponding sequenced regions

# DOCK8 Molecular Analyses



- Genetic variants consisted of large missing portions of the DOCK8 coding sequence
- Included a conserved DOCK homology region 1 (DHR1) domain
- DOCK8 mRNA present in tissues from lung, kidney, pancreas, and placenta
- Monocytes, B cells, and T cells from healthy controls contain DOCK8 mRNA
- DOCK8 proteins were detected in lymphocytes from unrelated patients with HIES
- DOCK8 proteins were not detected in T cell cultures from the 11 patients in this study
- Two patients had truncated protein generated from remaining exons

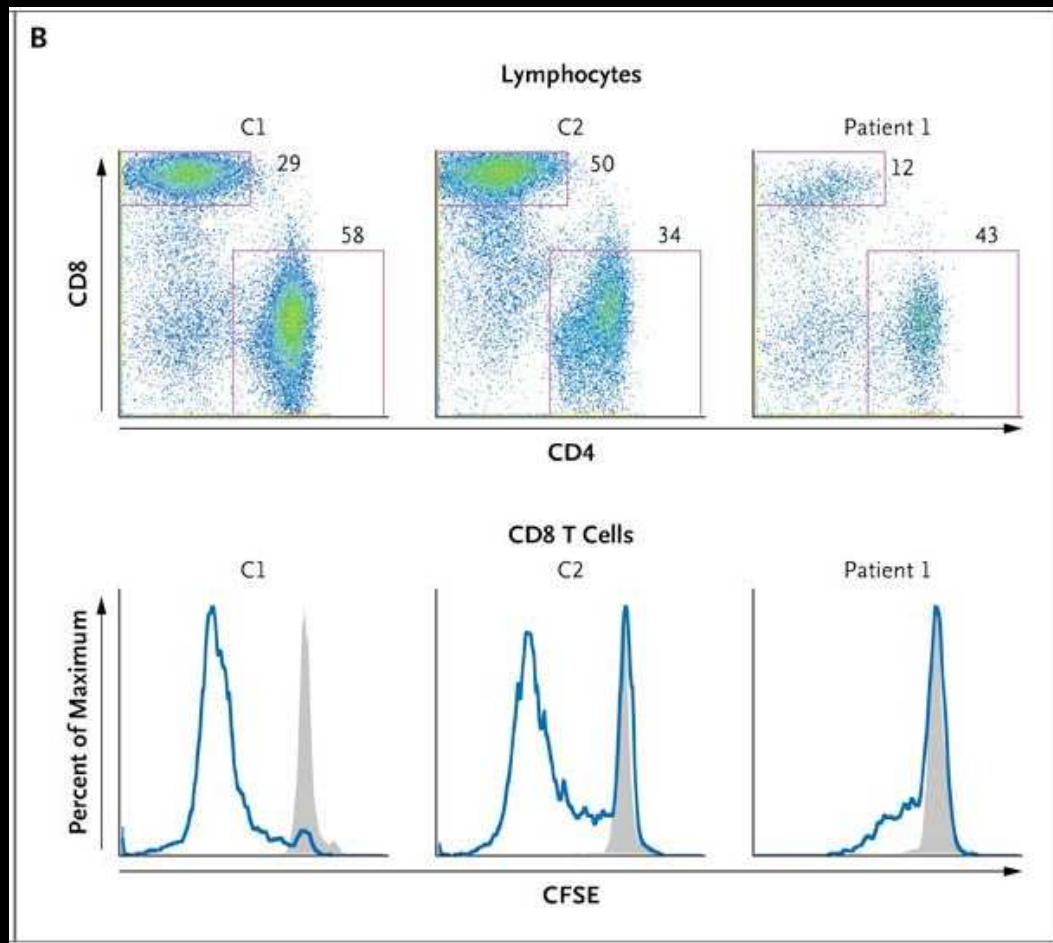
# Function of CD8 Cells



- PBMCs activated with anti-CD3 and anti-CD28 antibodies for 3 days, T cells were expanded in culture with IL-2
- Absolute numbers of CD8 T cells calculated on the basis of flow
- CD8 cells did not expand well from activated DOCK8-deficient patients

*Impaired CD8 T-Cell Activation and Proliferation in Association with DOCK8 Deficiency in Family 1*

# Function of CD8 Cells (cont'd)



- PBMCs labeled with CFSE were either unstimulated or stimulated then analyzed
- Location of each dot reflects a cell's intensity for CD4 staining versus CD8 staining
- Samples from Patient 1 had a lower proportion of CD8 T cells (12% of lymphocytes) after activation compared to controls
- CD4 cell proliferation was unaffected in DOCK8 deficient patients (data not shown)
- Induction of CD25 on CD8 cells was impaired in two of three DOCK8 deficient patients tested (data not shown)



# Discussion

- DOCK180 superfamily of guanine nucleotide exchange factors interact with Rho GTPases
- DOCK8 is a member of this family, exact function under investigation
- Likely regulates cytoskeletal rearrangements required for cell structure, migration, adhesion
- Experimental model: DOCK2  $-/-$  mice
  - Decreased number of T cells
  - Reduced responsiveness of T cell antigen receptor
  - Allergic disease
  - High levels of IgE
  - No susceptibility to viral infections

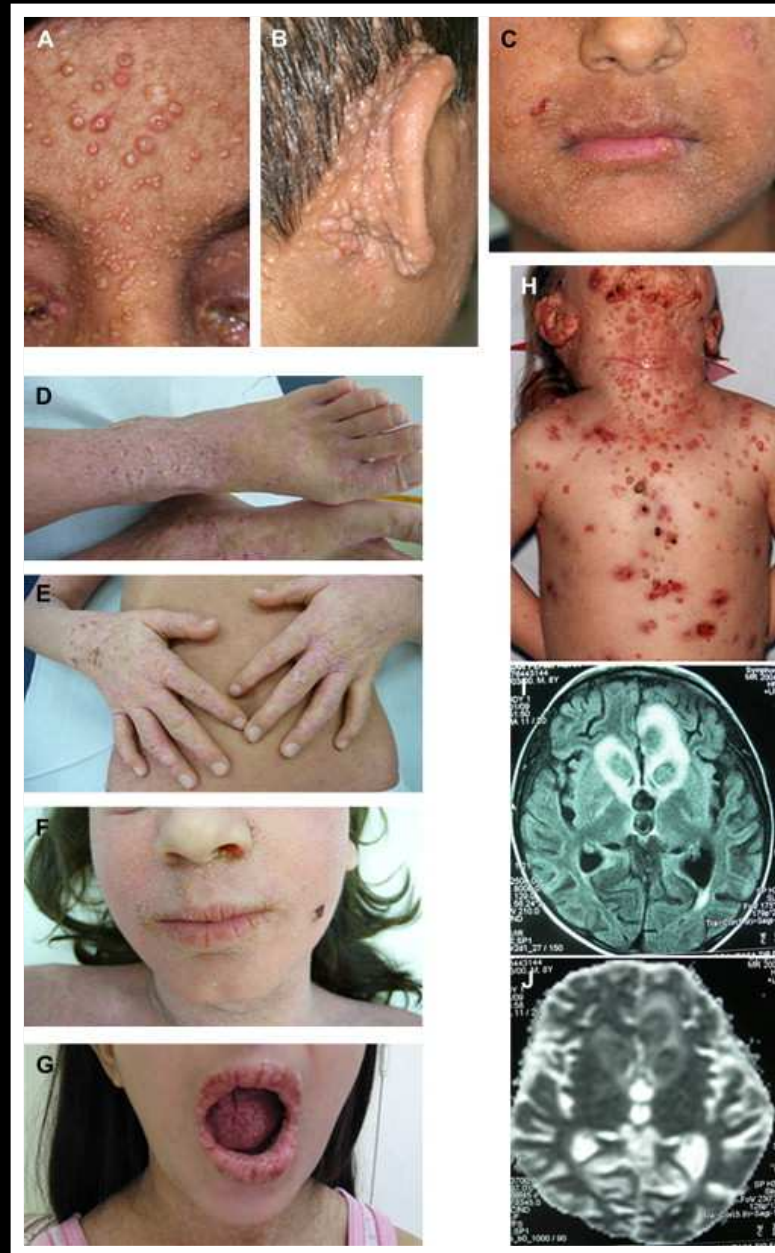
## Discussion (cont'd)

- DOCK8 mutation was found in 11 patients with combined immune deficiency, including subgroup of patients previously thought to have autosomal recessive HIES
- Allergic manifestations not typical of primary immunodeficiency or HIES
- Malignancy
  - HIES does not show increased incidence of cancers
  - DOCK8 deficient patients developed squamous cell carcinomas and cutaneous T cell lymphoma-leukemia
  - Impaired CD8 T-cell function suggests impaired tumor surveillance as a possible mechanism for malignancy
  - DOCK8 deletions in primary lung cancers, gastric cancers, breast cancers, and gliomas have been reported (Int J Onc 2008, J Neurooncol 2008)
- DOCK8 mutation (heterozygous) also reported in several cases of mental retardation, developmental delay, and autistic-spectrum disorder (Genomics 2008)

# Follow-up Studies - Engelhardt et al, JACI 2009

- Performed genome-wide SNP analysis for 16 patients from 14 families with autosomal recessive HIES (positive NIH HIES score >40) and absence of significant skeletal findings
- A candidate gene was identified and 11 additional patients from 6 additional families were analyzed
- Analysis demonstrated mutations in DOCK8
- Total of 21/27 patients with AR-HIES were found to have DOCK8 mutations
- Clinical phenotype: upper respiratory tract infections, recurrent PNA, bronchiectasis, skin abscesses, severe recurrent viral infections, candidiasis, atopic dermatitis, multiple food and environmental allergies, central nervous system vasculitis, brain infarction
- These patients had more profound CD4 defects, CD8 responses were less affected

# Follow-up Studies - Engelhardt et al, JACI 2009

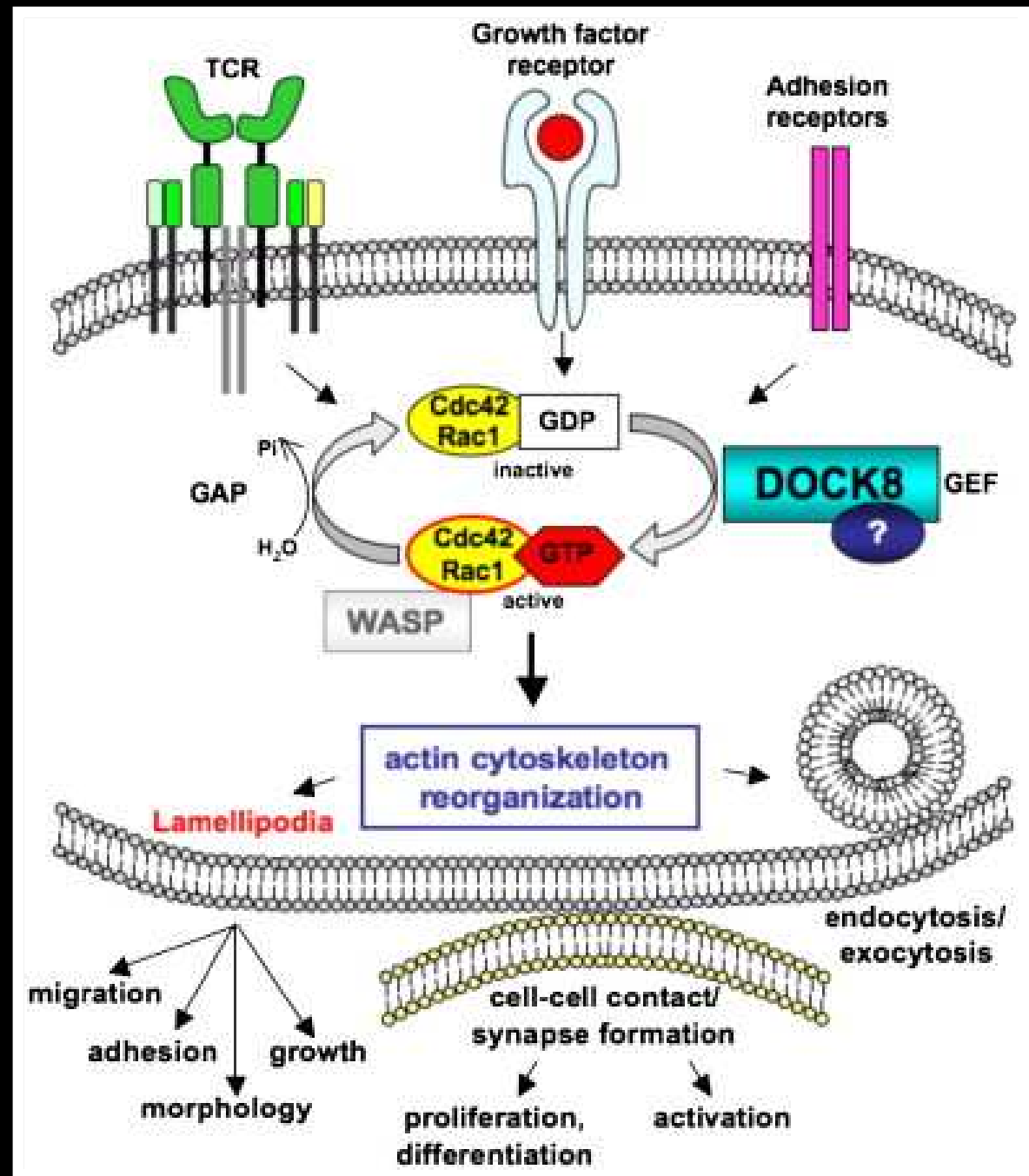


# DOCK8 mutations cripple B cell immunologic synapses, germinal centers, and long-lived antibody production - Randall et al, Nature Immunology 2009

- Identified DOCK8 mutations in a mouse genetic screen for mutations that disrupt antibody maturation and persistence
- DOCK8 mutant B cells unable to form marginal zone B cells
- Unable to persist in germinal centers and undergo affinity maturation
- DOCK8 mutations disrupted accumulation of ICAM-1 in the B cell immunological synapse
- Immunization of DOCK8-mutant mice elicited normal early wave of antibody formation but no hypermutation or affinity maturation
- Mutation had no effect on CD40 or LPS-stimulated activation or proliferation
- Mutation had no affect on B cell response chemotactic factors including S1P, CXCL12, and CXCL13

# DOCK8 Proposed Mechanism

- DOCK8 activates CDC42 and Rac1
- GTPase activation induces dynamic filamentous actin rearrangement and lamellipodia formation via WASP
- Cell growth, migration, adhesion
- Possible role in the formation of immunologic synapse
- T cell activation, proliferation, differentiation



# Conclusions

- Autosomal recessive DOCK8 mutation is associated with a novel variant of combined immunodeficiency
- Clinical features include recurrent sinopulmonary and cutaneous bacterial and viral infections, elevated serum levels of IgE, severe atopy including food and environmental allergies, and malignancy
- DOCK8 mutation was found in a number of patients who met criteria for autosomal recessive HIES
- DOCK8 may play a critical role in formation of the B cell immunologic synapse and well as T cell activation, proliferation, differentiation

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